

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 2-2005)

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

3635

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/589317**

INTERNATIONAL APPLICATION NO.

PCT/EP 2005/053851

INTERNATIONAL FILING DATE

08/04/2005

PRIORITY DATE CLAIMED

09/20/2004

TITLE OF INVENTION

**DRILLING TOOL WITH A CUTTING ELEMENT DESIGNED AS A PLATE OR HEAD**

APPLICANT(S) FOR DO/EO/US

**Bernhard MOSER, Rainer WIDMANN**

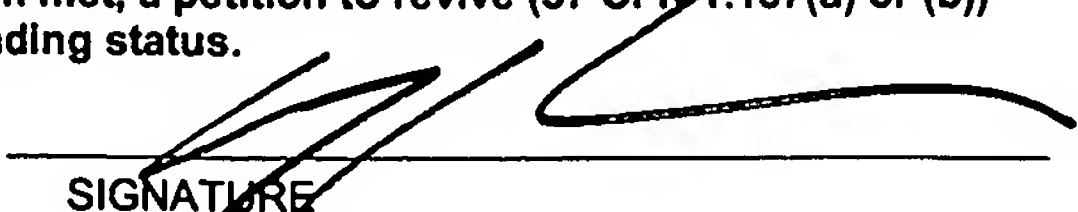
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 23 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 261934642 US**
23. ☐ Other items or information:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Application No. <b>10/589317</b> (37 CFR 1.53)		INTERNATIONAL APPLICATION NO. <b>PCT/EP 2005/053851</b>		ATTORNEY'S DOCKET NUMBER <b>3635</b>		
The following fees are submitted:				<b>CALCULATIONS</b>	<b>PTO USE</b>	
24. <input checked="" type="checkbox"/> Basic national fee .....		<b>\$300</b>		\$ <b>\$300.00</b>		
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). .....		<b>\$100</b>		\$ <b>\$200.00</b>		
All other situations. ....		<b>\$200</b>				
26. <input checked="" type="checkbox"/> Search fee .....		<b>\$100</b>				
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....		<b>\$400</b>				
International Search Report prepared and provided to the Office .....		<b>\$500</b>		\$ <b>\$500.00</b>		
All other situations. ....		<b>\$500</b>				
<b>TOTAL OF 24, 25 and 26 =</b>				\$ <b>\$1,000.00</b>		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE			
- 100 =	0	/50 =	0	x \$250.00	\$ <b>\$0.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	7 - 20 =	0	x \$50.00	\$ <b>\$0.00</b>		
Independent claims	1 - 3 =	0	x \$200.00	\$ <b>\$0.00</b>		
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				\$ <b>\$0.00</b>		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ <b>\$1,000.00</b>		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ <b>\$0.00</b>		
<b>SUBTOTAL =</b>				\$ <b>\$1,000.00</b>		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ <b>\$0.00</b>		
<b>TOTAL NATIONAL FEE =</b>				\$ <b>\$1,000.00</b>		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$ <b>\$40.00</b>		
<b>TOTAL FEES ENCLOSED =</b>				\$ <b>\$1,040.00</b>		
				Amount to be	\$	
				Amount to be	\$	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.						
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <b>194675</b> in the amount of <b>\$1,040.00</b> to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>194675</b> . A duplicate copy of this sheet is enclosed.						
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO: <b>STRIKER, STRIKER &amp; STENBY</b> <b>103 EAST NECK ROAD</b> <b>HUNTINGTON, NY 11743</b>						
				SIGNATURE  <b>MICHAEL J. STRIKER</b>		
				NAME <b>27233</b>		
				REGISTRATION NUMBER		

June 1, 2006

DECLARATION

The undersigned, Dana Scruggs, having an office at 8902B Otis Avenue, Suite 204B, Indianapolis, Indiana 46216, hereby states that she is well acquainted with both the English and German languages and that the attached is a true translation to the best of her knowledge and ability of PCT/EP 2005/053851 (INV.: MOSER. B., ET AL).

The undersigned further declares that the above statement is true; and further, that this statement was made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or document or any patent resulting therefrom.

A handwritten signature in black ink, appearing to read "Dana Scruggs", with a stylized flourish at the end.

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Dana Scruggs